



荷蘭商安智銀行台北分行內部控制制度聲明書

ING Bank Taiwan Branch Statement of Internal Control

謹代表荷蘭商安智銀行台北分行聲明本分行於 114 年 01 月 01 日至 114 年 12 月 31 日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」、「外國銀行在台分行適用銀行內部控制及稽核制度實施辦法」說明對照表暨金融監督管理委員會備查之風險導向稽核及內部控制制度，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報亞太區域總部，並確實遵循前開辦法第三十八條第五款及第三十八條之一規定，與同業公會所定資訊安全自律規範。兼營證券業務部分，確實依據「證券暨期貨市場各服務事業建立內部控制制度處理準則」規定之內部控制制度有效性之判斷項目，判斷內部控制制度之設計及執行是否有效。經審慎評估，本年度各單位內部控制、法規遵循及資訊安全整體執行情形，除附表所列事項外，均能確實有效執行。

上述公開之內容如有虛偽、隱匿等不法情事，將涉及證券交易法第一百七十四條等之法律責任。

On behalf of ING Bank Taiwan branch, we hereby certify that from January 01 to December 31, 2025, the Bank has duly complied with the “Implementation Rules for Financial Holding Company and Bank Internal Audit and Internal Control System” (the “Implementation Rules”), and the Comparison Table of “Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries” for Foreign Bank Branches (and the risk-based approach approved by the Financial Supervisory Commission) in establishing the internal control system and implementing risk management procedures. The Bank has been audited by independent auditors who submit reports periodically to the APAC regional headquarter. In addition, the Bank has duly complied with Section 5 of Article 38 and Article 38-1 of the Implementation Rules, and the “Information Security Self-Regulatory Rules” published by the Bankers



Association of the Republic of China. With respect to the concurrently operating securities business, the Bank has conducted evaluation of the effectiveness of design and implementation of its internal control system in accordance with the criteria described in the “Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets,” promulgated by the Securities and Futures Bureau, Financial Supervisory Commission. After prudent evaluation, except for the items listed in the attached schedule, each department of the Bank has implemented effective internal control, compliance, and information security systems during the year to which this statement relates.

False or concealed information in the abovementioned public content is subject to legal liability under Article 174 of the Securities and Exchange Act.

謹 致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人

Statement by

總經理：張崇崗 Sophia Chang
Country Manager

(簽章)

負責台灣區稽核業務之主管：Stepien Lukasz
Auditor in charge of auditing on Taiwan branch

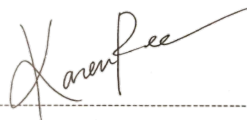
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負責台灣區之資安專責單位主管：湯馨儀 Tonya Tang
Information Security Officer in charge of Taiwan branch


(簽章)

台灣區法令遵循主管：呂佳陵 Karen Ree
Head of Compliance in Taiwan



(簽章)

中 華 民 國 115 年 03 月 31 日



荷商安智銀行台北分行內部控制制度應加強事項及改善計畫
(基準日：114 年 12 月 31 日)

應加強事項	改善措施	預定完成改善時間
無 Nil	無 Nil	無 Nil