

菲商菲律賓首都銀行在臺分行內部控制制度聲明書

Metropolitan Bank & Trust Company Taiwan Branch Statement of Internal Control

謹代表菲律賓首都銀行在臺分行聲明本銀行於113年1月1日至113年12月31日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」、外國銀行及大陸地區銀行在臺分行適用「金融控股公司及銀行業內部控制及稽核制度實施辦法」說明對照表建立內部控制制度實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報董事會及審計委員會，並確實遵循前開辦法第三十八條第五款及第三十八條之一規定，與同業公會所定資訊安全自律規範。經審慎評估，本年度各單位內部控制、法規遵循制度及資訊安全整體執行情形，除附表所列事項外，均能確實有效執行。

On behalf of Metropolitan Bank & Trust Company Taiwan Branch, we hereby certify that from January 1 to December 31, 2024, the Bank has duly complied with the "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries", and the Comparison Table of "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries" for Foreign Bank Branches in establishing the internal control system and implementing risk management procedures. The Bank has duly complied with the subparagraph 5, Article 38 and Article 38-1 of above Implementation Rules, and the information security self-disciplinary regulations specified by the Banks Association of the ROC. The Bank has been audited by independent auditors who submit reports to Board of Directors and Audit Committee. After prudent evaluation, except for the items listed in the attached schedule, the Bank's each department has implemented effective internal control, compliance systems and overall information security implementation during the year to which this statement relates.

謹 致

金融監督管理委員會

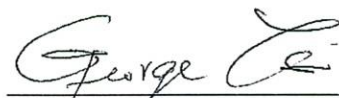
To the Financial Supervisory Commission

聲明人

Statement by

在臺負責人：

Responsible Person in Taiwan



George Tsai, General Manager

臺灣區法令遵循主管：

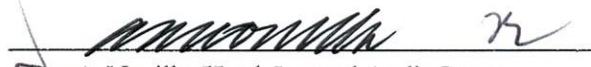
Head of Compliance in Taiwan



Cynthia Lien, Compliance Officer

總稽核 / 或負責臺灣區稽核業務之主管：

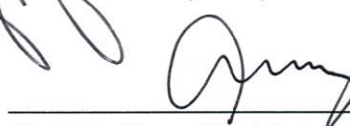
Chief Auditor or Auditor in charge of auditing on Taiwan branch(es)



Alina A. Novilla, Head, Internal Audit Group

負責臺灣區資訊安全主管：

Officer in charge of information security on Taiwan branch(es)



Alona A. Abueg, Head, Information Security Division

中 華 民 國 114 年 3 月 25 日 (March 25, 2025)

菲商菲律賓首都銀行在臺分行內部控制制度應加強事項及改善計畫

Metropolitan Bank & Trust Company Taiwan branch(es) Enhancement Items and Improvement Plan for Internal Control System

(基準日： 113 年 12 月 31 日 / As of December 31, 2024)

應 加 強 事 項 Enhancement Items	改 善 措 施 Improvement Measures	預定完成改善時間 Planned Completion Date
1. 漏洞評估管理及滲透測試 Vulnerability Assessment Management and Penetration Testing	導入系統更新要求並遵循總行標準 <ul style="list-style-type: none"> 每月監控並報告漏洞評估情形 Implement patching requirements and follow standard in Metrobank Head Office (Philippines) <ul style="list-style-type: none"> Monthly monitoring and reporting of vulnerability assessment status 	已完成 COMPLETED
	將台北分行內/外部系統納入滲透測試範圍 Include MB Taipei systems in penetration testing scope both external and internal systems	已完成 COMPLETED
2. 資訊科技安全工具 IT Security Tools	加強資訊科技安全基礎設施來預防、保護及因應安全事件 <ul style="list-style-type: none"> 定期報告及分析從 SIEM 更換專案後所蒐集的日誌 防火牆更新專案 EDR 更換 Enhance IT security infrastructure to prevent, protect and respond to security incidents; <ul style="list-style-type: none"> Regular reporting and analysis of collected logs in SIEM Replacement project Firewall refresh project EDR Replacement 	已完成 COMPLETED
3. 資安風險分析 Information Security Risk Assessment	完成全面安全檢核/評估並造訪台北分行實地檢核下列各項：	
	<ul style="list-style-type: none"> 進行安全檢核 – 著重於資訊資產保護 	已完成
	<ul style="list-style-type: none"> 檢核第三方(廠商) – 包含實地造訪歸類為 Level 1 的第三方(廠商) 	已完成
	<ul style="list-style-type: none"> 檢核基礎設施、網絡及系統 – 著重於技術環境的 C, I, A(機密性,完整性,可用性) 控制 	已完成
	<ul style="list-style-type: none"> 環球銀行金融電信協會 	已完成
	Completed comprehensive security review/assessment and applicable onsite visit to Taipei which covered the following: <ul style="list-style-type: none"> Process security review focusing on protection information assets Third party review which included onsite visits to Level 1 third parties Infra, Network and System review focusing on C, I, A controls of the technical environment SWIFT 	COMPLETED COMPLETED COMPLETED COMPLETED