

## 菲商菲律賓首都銀行在臺分行内部控制制度聲明書

Metropolitan Bank & Trust Company Taiwan Branch

Statement of Internal Control

謹代表菲律賓首都銀行在臺分行聲明本銀行於111年1月1日至111年12月31日確實遵循「金融控股公司及銀行業内部控制及稽核制度實施辦法」、外國銀行及大陸地區銀行在臺分行適用「金融控股公司及銀行業内部控制及稽核制度實施辦法」說明對照表建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報董事會及審計委員會，並確實遵循前開辦法第三十八條第五款及第三十八條之一規定，與同業公會所定資訊安全自律規範。經審慎評估，本年度各單位内部控制、法規遵循制度及資訊安全整體執行情形，除附表所列事項外，均能確實有效執行。

On behalf of Metropolitan Bank & Trust Company Taiwan Branch, we hereby certify that from January 1 to December 31, 2022, the Bank has duly complied with the "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries", and the Comparison Table of "Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries" for Foreign Bank Branches in establishing the internal control system and implementing risk management procedures. The Bank has duly complied with the subparagraph 5, Article 38 and Article 38-1 of above Implementation Rules, and the information security self-disciplinary regulations specified by the Banks Association of the ROC. The Bank has been audited by independent auditors who submit reports to Board of Directors and Audit Committee. After prudent evaluation, each department of the Branch has implemented effective internal control, compliance systems and overall information security implementation during the year to which this statement relates.

謹致

金融監督管理委員會

To the Financial Supervisory Commission

聲明人

Statement by

在臺負責人：

Responsible Person in Taiwan

  
George Tsai, General Manager

臺灣區法令遵循主管：

Head of Compliance in Taiwan

  
Cynthia Lien, Compliance Officer

總稽核 / 或負責臺灣區稽核業務之主管：

Chief Auditor or Auditor in charge of auditing on Taiwan branch(es) Aline A. Novilla, Head, Internal Audit Group

負責臺灣區資訊安全主管：

Officer in charge of information security on Taiwan branch(es) Alona A. Abucg, Head, Information Security Division

Noel Limpin, Deputy Head, Information Security Division (Signed in Behalf of AAAbucg)

中 華 民 國 112 年 3 月 28 日 (March 28, 2023)

## 菲商菲律賓首都銀行在臺分行內部控制制度應加強事項及改善計畫

Metropolitan Bank & Trust Company Taiwan branch(es)

### Enhancement Items and Improvement Plan for Internal Control System

(基準日: 111 年 12 月 31 日 / As of December 31, 2022)

應 加 強 事 項 Enhancement Items	改 善 措 施 Improvement Measures	預定完成改善時間 Planned Completion Date
IT Security Tools  資訊科技安全工具	Implementation of the following tools: a. Active Directory Federation b. Email System (0365) c. Network Upgrade  導入下列工具: a. 集中式目錄管理服務聯合身份驗證架構 b. O365 郵件系統 c. 網路系統升級	a. December 2024 b. June 2024 c. December 2023  a. 113年12月 b. 113年6月 c. 112年12月
Security over MB Taipei's Core Banking System  台北分行銀行核心系統安全性	Enhance security over the Core Banking System by implementing the following: • Alignment of security settings with MBSS • Upgrade of obsolete system components • Segregation of web/app and database servers into different physical hosts  導入下列以增進銀行核心系統安全性 • 系統設定須符合系統建置安全性基準 • 系統元件更新升級 • 將瀏覽器/應用程式主機和資料庫系統主機分別安裝在不同的伺服器	December 2023  112年12月